

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
04995/117001

In Re Application Of: **Ryuji HAYASHI et al.**

Serial No.

Filing Date  
Herewith

Examiner

Group Art Unit

Title: **BRAKING DEVICE FOR MAGNETIC TAPE APPARATUS**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**22511**  
PATENT TRADEMARK OFFICE

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| <b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b><br>(Under 37 CFR 1.97(b) or 1.97(c))  |                         |          | Docket No.<br>04995/117001 |
| In Re Application: <b>Ryuji HAYASHI et al.</b>   |                         |          |                            |
| Serial No.   | Filing Date<br>Herewith | Examiner | Group Art Unit             |
| <b>BRAKING DEVICE FOR MAGNETIC TAPE APPARATUS</b>  |                         |          |                            |
| <div style="text-align: center;"> <b>Payment of Fee</b><br/>         (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))       </div> <div style="margin-top: 10px;"> <input type="checkbox"/> A check in the amount of _____ is attached.<br/> <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No.    <b>50-0591</b><br/>         as described below.         <div style="margin-left: 20px; margin-top: 5px;"> <input type="checkbox"/> Charge the amount of _____<br/> <input checked="" type="checkbox"/> Credit any overpayment.<br/> <input checked="" type="checkbox"/> Charge any additional fee required.         </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="width: 45%;"> <p style="text-align: center;"><b>Certificate of Transmission by Facsimile*</b></p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">           I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:         </div> <div style="border-bottom: 1px solid black; margin-bottom: 5px; margin-left: 20px;">           _____<br/>           (Date)         </div> <div style="border-bottom: 1px solid black; margin-bottom: 5px; text-align: center;">           _____<br/> <i>Signature</i> </div> <div style="border-bottom: 1px solid black; text-align: center;">           _____<br/> <i>Typed or Printed Name of Person Signing Certificate</i> </div> </div> <div style="width: 45%;"> <p style="text-align: center;"><b>Certificate of Mailing by First Class Mail</b></p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">           I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.         </div> <div style="border-bottom: 1px solid black; margin-bottom: 5px; text-align: center;">           _____<br/> <i>Signature of Person Mailing Correspondence</i> </div> <div style="border-bottom: 1px solid black; text-align: center;">           _____<br/> <i>Typed or Printed Name of Person Mailing Certificate</i> </div> </div> </div> <div style="margin-top: 10px;"> <p><b>*This certificate may only be used if paying by deposit account.</b></p> <div style="display: flex; justify-content: space-between; align-items: flex-start; margin-top: 10px;"> <div style="width: 45%;"> <div style="border-bottom: 1px solid black; width: 100%;"></div> <p style="text-align: center; font-size: small;"><i>Signature</i></p> <p>Jonathan P. Osha, Reg. No. 33,986<br/>         ROSENTHAL &amp; OSHA L.L.P.<br/>         1221 McKinney Street, Suite 2800<br/>         Houston, TX 77010</p> <p style="margin-top: 20px;">Tel: 713-228-8600<br/>         Fax: 713-228-8778</p> </div> <div style="width: 45%; text-align: right;"> <p>Dated: <i>9/16/03</i></p> </div> </div> </div> |                         |          |                            |
| CC:  |                         |          |                            |

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

Docket Number (Optional)  
**04995/117001**

Application Number

Applicant(s)  
**Ryuji HAYASHI et al.**

Filing Date  
**Herewith**

Group Art Unit

**U.S. PATENT DOCUMENTS**

| *EXAMINER<br>INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----|-----------------|------|------|-------|----------|-------------------------------|
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**FOREIGN PATENT DOCUMENTS**

|  | REF | DOCUMENT NUMBER | DATE       | COUNTRY | CLASS | SUBCLASS | Translation |    |
|--|-----|-----------------|------------|---------|-------|----------|-------------|----|
|  |     |                 |            |         |       |          | YES         | NO |
|  | A1  | P3-8160         | 01/16/1991 | Japan   | G11B  | 15/22    |             | ✓  |
|  | A2  | P5-114199       | 05/07/1993 | Japan   | G11B  | 15/22    |             | ✓  |
|  |     |                 |            |         |       |          |             |    |
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|  |     |                 |            |         |       |          |             |    |
|  |     |                 |            |         |       |          |             |    |

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **Ryuji HAYASHI et al.**

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Invention: **BRAKING DEVICE FOR MAGNETIC TAPE APPARATUS**

I hereby certify that the following correspondence:

Information Disclosure Statement

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